## CS3230



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Computer Science

T09 – Week 10

# **Greedy Algorithms**

CS3230 – Design and Analysis of Algorithms

Midterm scripts returned via Softmark and the time for script review had passed.
 No Monday Week 11 tutorials (TG01-09, TG19) due to Hari Raya Puasa. Please watch the pre-recorded tutorial instead, available from Monday, 9am on Canvas > Videos/Panopto > Tutorials. All Tuesday tutorials will proceed as scheduled.
 Free attendance is granted for all Week 11 Monday sessions. (Tuesday sessions had received their free attendance in Week 3.)

Greedy Algorithms solve problems by making a **greedy choice** and solving the **remaining subproblem**.

- **Prove**<sup>1</sup> that an optimal solution always makes the greedy choice (often using an exchange argument).
- Use **optimal substructure** to show that combining the **greedy choice** with an optimal subproblem solution yields an optimal solution (often by contradiction).

This tutorial covers more greedy algorithm examples beyond those in lectures.

 $<sup>^{1}</sup>$ In theory courses like CS3230, this is crucial. In programming competitions, 'faith' in their 'greedy choice', implement it, and hope it passes. 3/24

Bob wants to burn music files onto CDs<sup>2</sup>:

- > Each CD has **100 MB** capacity.
- > At most **two** files per CD<sup>3</sup>.

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- > Files cannot be split across CDs.
- Given a set A of file sizes (< 100 MB), define MinCD(A) as the minimum number of CDs needed.

Bob wants to use the least number of CDs to fit his music collection.

<sup>2</sup>If unfamiliar, see this guide.<sup>3</sup>A necessary constraint for a greedy solution.

Which of the following correctly describes an optimal substructure property of the problem, assuming that **at least one pair of files fit into a CD**?

- a. For any pair of files  $f_1, f_2$  in A,
- **D** For any pair of files  $f_1, f_2$  in A that belong on a single CD in an optimal solution,

**c.** If  $f_1$  and  $f_2$  are the **largest** and **smallest** files in A,

$$MinCD(A) = 1 + MinCD(A \setminus \{f_1, f_2\})$$
(1)

#### Answer 1a

This approach fails—we **cannot** simply pair *any two* files and expect an optimal solution. **Counterexample:** Given  $A = \{10, 20, 80, 90\}$ ,

- > Optimal solution:  $\{10, 90\}, \{20, 80\} \rightarrow 2$  CDs
- > Using eq. 1 with wrong pairing  $(f_1 = 10, f_2 = 20)$  gives:

$$1+MinCD(\{80,90\})=1+2=3,$$

which is incorrect since  $\{80,90\}$  needs 2 CDs.

## Answer 1b

This is correct. In any optimal solution:

- **I** Remove any pair stored in the same CD in the optimal solution (say *first* CD).
- The remaining files must be stored optimally—otherwise, a better allocation exists, contradicting optimality.



Figure 1: Optimal substructure illustration

#### Answer 1c

This fails because the largest file  $(f_1)$  and smallest file  $(f_2)$  may exceed **100 MB** and cannot fit on a single CD.

Counterexample:  $A = \{10, 20, 30, 95, 99\},\$ 

- > Optimal solution:  $\{10, 20\}, \{30\}, \{95\}, \{99\} \rightarrow 4$  CDs (cannot be improved).
- > Using eq. 1 with  $f_1=99 \mbox{, } f_2=10$  gives:

 $1+MinCD(\{20,30,95\})=1+2=3,$ 

which is **impossible** since  $f_1$  and  $f_2$  do not fit on a single CD.

Assume any optimal solution contains a pair on a CD. Select all **True** statements:

- **a.** The smallest file f must be paired in some optimal solution.
- **b.** The pair  $\{f_1, f_2\}$ , where  $f_1$  is the smallest file and  $f_2$  is the largest file that fits with  $f_1$  on one CD, must appear in some optimal solution.
- **c** The pair  $\{f_1, f_2\}$ , where  $f_1$  is the **smallest** file and  $f_2$  is the **largest** file, must appear in some optimal solution.

## Answer 2a

**True.** Some optimal solution includes the smallest file f (**if a pair exists**):

- > If the smallest file f is already in a pair, we are done.
- > Otherwise, swap f with any file a from an optimal pair  $\{a, b\}$  (exchange argument).
  - $\blacktriangleright$  Since  $f\leq a,$  if  $a+b\leq 100$  MB, then  $f+b\leq 100$  MB.
  - >> The total number of CDs used remains unchanged.



Figure 2: Smallest file in a pair

#### Answer 2b

**True.** From (2a), smallest file  $f_1$  that is paired with some file (if a pair exists):

- > If  $f_1$  (smallest) is paired with  $f_2$  (largest that fits), we are done.
- Otherwise, if paired with f<sub>3</sub> (some other file), swap it with f<sub>2</sub> (exchange argument).
   If f<sub>2</sub> was unpaired, the solution remains optimal.
  - 2 If  $f_2$  was paired with  $f_4$  ({ $f_1, f_3$ } and { $f_2, f_4$ } fit in 2 CDs), the swap is valid since  $f_2 > f_3$  ({ $f_1, f_2$ } and { $f_3, f_4$ } also fit in 2 CDs).

Thus, an optimal solution exists where  $f_2$  is paired with the smallest file  $f_1$ .



Figure 3: Smallest and largest (that fits) fit in a pair

Answer 2c False. Same argument as 1c. The problem is the "largest file".

Derive the greedy algorithm for obtaining the minimum number of CDs that Bob needs to burn his music files and apply it to this array of file sizes  $A = \{89, 74, 81, 12, 7, 91\}$ , what is the output of MinCD(A) for this test case?

#### Answer

## Sort the file sizes

**>>** Use a sorting algorithm  $(O(n \log n))$  or Counting Sort (O(n)).

#### **2** Initialize two pointers

- >> Let forward point to the smallest file  $(A_1)$ ,
- $\gg$  and backward point to the largest file  $(A_n)$ .
- >>> Set num\_cds = 0 to track the CDs used.

## Pair smallest and largest files greedily

- $>\!\!>$  If  $A_{\rm forward} + A_{\rm backward} \leq 100$  MB, store them together and move both pointers.
- $>\!\!>$  Otherwise, store  $A_{\rm backward}$  alone and move only the **right** pointer.

## A Repeat until pointers meet

>> Continue advancing forward and retreating backward until all files are stored.

## 5 Return total CDs used

## Remarks

Interested students can try implementing a similar greedy algorithm with these problems:

> UVa 410 - Station Balance

For $A = -$	$\{89, 74, 8$	$\{31, 12, 7, 91\},\$	we have the	following trace:
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	$Remaining  \operatorname{Files}  [A_{forward}, \cdots, A_{backward}]$	Pair	CDs Used
0	[7, 12, 74, 81, 89, 91]	{91, 7}	1
1	[12, 74, 81, 89]	{89}	2
2	[12, 74, 81]	$\{81, 12\}$	3
3	[74]	{74}	4

Note: Pairings are not required but can easily be tracked.

	$Remaining  \operatorname{Files}  [A_{forward}, \cdots, A_{backward}]$	Pair	CDs Used
0	[7, 12, 27, 32, 43, 49, 51, 59, 62, 74, 81, 89, 91]	{91, 7}	1
1	[12, 27, 32, 43, 49, 51, 59, 62, 74, 81, 89]	{89}	2
2	[12, 27, 32, 43, 49, 51, 59, 62, 74, 81]	{81, 12}	3
3	[27, 32, 43, 49, 51, 59, 62, 74]	{74}	4
4	[27, 32, 43, 49, 51, 59, 62]	{27, 62}	5
5	[32, 43, 49, 51, 59]	{32, 59}	6
6	[43, 49, 51]	{51, 43}	7
7	[49]	{49}	8

# **Activity Selection**

Your task is to find the largest subset of mutually compatible activities:

- > Given a set of activities  $S=\{a_1,a_2,\ldots,a_n\}.$
- > Each activity occurs within  $[s_i, f_i)$  (start time inclusive, finish time exclusive).
- > Two activities  $a_i$  and  $a_j$  are **compatible** if their intervals do not overlap:
  - $\ggg ~s_i \geq f_j$  (i.e.,  $a_i$  starts after  $a_j$  finishes), or
  - $\gg s_j \geq f_i$  (i.e.,  $a_j$  starts after  $a_i$  finishes).

#### Example



Figure 4: Activity intervals for  $a_1=[3,10)\text{, }a_2=[15,20)\text{, and }a_3=[5,15)\text{.}$ 

Compatible sets: {a<sub>1</sub>, a<sub>2</sub>} or {a<sub>2</sub>, a<sub>3</sub>} (both optimal).
Incompatible set: {a<sub>1</sub>, a<sub>3</sub>}.

Which of these greedy strategy (or strategies) work for the activity selection problem?

- **a** Choose the activity a that **starts last**, remove conflicting activities, and recurse.
- **D** Choose the activity a that **ends last**, remove conflicting activities, and recurse.
- Choose the **shortest** activity *a*, remove conflicting activities, and recurse.

## Answer 4a Correct, see the details in the next question!

#### Answer 4a

Correct, see the details in the next question!

## Answer 4b

Wrong, counterexample:  $a_1 = [1, 10)$ ,  $a_2 = [1, 5)$ ,  $a_3 = [6, 9)$ .

- Choosing a<sub>1</sub> (which ends last) blocks all other choices, leading to a suboptimal solution.
- > Optimal solution:  $\{a_2, a_3\}$ .

#### Answer 4a

Correct, see the details in the next question!

## Answer 4b

Wrong, counterexample:  $a_1 = [1, 10)$ ,  $a_2 = [1, 5)$ ,  $a_3 = [6, 9)$ .

- Choosing a<sub>1</sub> (which ends last) blocks all other choices, leading to a suboptimal solution.
- > Optimal solution:  $\{a_2, a_3\}$ .

#### Answer 4c

Wrong. Counterexample:  $a_1 = [1, 4)$ ,  $a_2 = [3, 5)$ ,  $a_3 = [4, 10)$ .

- Choosing a<sub>2</sub> (the shortest activity) blocks all other choices, leading to a suboptimal solution.
- > Optimal solution:  $\{a_1, a_3\}$ .

## **Question 5**

Derive the greedy algorithm for the Activity Selection Problem.

#### Answer

## **Optimal Substructure**

Suppose an optimal schedule S includes activity  $a_i$ :

- >  $Before_j = \{a_i \mid f_i \leq s_j\}$  (activities finishing before  $a_j$  starts).
- >  $After_i = \{a_i \mid s_i \ge f_i\}$  (activities starting after  $a_i$  ends).

Then, S must also contain an optimal schedule for  $Before_j$  and an optimal schedule for  $After_j$ . This can be proven by contradiction.

## **Greedy Choice Property**

Greedily selecting the activity a that **starts last** works. Any optimal solution can be modified (using an exchange argument) to include the activity that starts last:

- If an optimal schedule S originally contained some latest-starting activity a, it can always be **replaced** with a\* while maintaining compatibility and the same number of selected activities.
- > This guarantees that selecting  $a^*$  is optimal.

## **Greedy Algorithm**

- **I** Sort the activities by start time in non-decreasing order (if not already sorted).
  - $\blacktriangleright$  Sorting takes  $\Theta(n\log n),$  but once sorted, the algorithm runs in O(n).
- $\blacksquare$  Initialize an empty schedule S.
- **Iterate through the activities in reverse order** (starting from the last activity):
  - >> Select the latest-starting activity  $a^*$  that is compatible with the current schedule S.
  - >> Add  $a^*$  to S.
- **4** Return the final schedule S as the largest set of compatible activities.

#### Remarks

Interested students can try implementing a similar greedy algorithm with these problems:

- Kattis Classrooms
- LeetCode Non-Overlapping Intervals

## LeetCode - Non-Overlapping Intervals is highly similar to our Activity Selection problem.

Solve this LeetCode problem!
 See others Greedy - LeetCode